

Attorney's Docket No. 003660.P021X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Christopher J. Smith

Examiner: Stefanos Karmis

Application No.: 09/712,302

Art Unit: 3624

Filed: Nov. 14, 2000

For: Method and Apparatus to Perform Buy  
Versus Leasing Transformational  
Bidding

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


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Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: April 22, 2005

  
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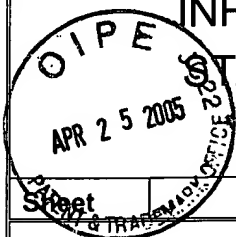
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## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)



## Complete if Known

Application Number	09/712,302
Filing Date	November 14, 2000
First Named Inventor:	Christopher J. Smith
Art Unit	3624
Examiner Name	Karmis, Stefanos
Attorney Docket Number	3660P021X

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	3,637,464	1/25/1972	Walsh et al.	
		US-	6,026,383	2/15/2000	Ausubel	
		US-	6,035,287	3/7/2000	Stallaert et al.	
		US-	6,047,274	4/4/2000	Johnson et al.	
		US-	6,052,108	4/18/2000	Gadd	
		US-	6,131,087	10/10/2000	Luke et al.	
		US-	6,134,536	10/17/2000	Shepard	
		US-	6,178,431 B1	1/23/2001	Douglas	
		US-	6,216,108 B1	4/10/2001	LeVander	
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		US-	6,236,972 B1	5/22/2001	Shkedy	
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		US-	6,343,277 B1	1/29/2002	Gaus et al.	
		US-	6,778,968 B1	8/17/2004	Gulati	
		US-	US-2001-0044769-A1	11/22/2001	Chaves	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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Examiner Name	Karmis, Stefanos				
Sheet	2	of	4	Attorney Docket Number	3660P021X

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		US-	US-2002-0013631-A1	1/31/2002	Parunak et al.	
		US-				
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				Art Unit	3624
				Examiner Name	Karmis, Stefanos
Sheet	3	of	4	Attorney Docket Number	3660P021X
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
		Freemarkets Online, "Bidware Manual," June 9, 1988.			
		Freemarkets Online, "Online Industrial Market Making, An Overview of Purchasing Executives," <b>Have not been able to locate this prior art as of March 23, 2005</b>			
		WebAuction.com, "How to Play," (1998)			
		Auction Sales, "Live Auctions Online," September 1998			
		Auction Port, "Online Auction Community - New Auctions Since 9/2/98," July 1998			
		OnSale, "How to Play," (1998) <b>Have not been able to locate this prior art as of March 23, 2005</b>			
		u-Auction-It (1997)			
		Freemarkets Online, "Homepage," (1998)			
		Steinert-Threlkeld, "New Watchwords: Let Sellers Beware," June 1, 1998			
		Associated Press, "FreeMarkets Takes Auction on Internet," February 23, 1998			
		M. Reck, "Types of Electronic Auctions," Hochschule St. Gallen			

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Sheet	4	of	4	Attorney Docket Number	3660P021X
<b>NON PATENT LITERATURE DOCUMENTS</b>					
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		C. Wrigley, "Design Criteria for Electronic Market Servers," Electronic Markets, Vol. 7, No. 4 (1997)			
		Wurman et al., "The Michigan Internet AuctionBot: A Configurable Auction Server for Human and Software Agents," Artificial Intelligence Laboratory, University of Michigan (1998)			
		PCT Notification of Transmittal of International Preliminary Examination Report for PCT Counterpart Application No. PCT/US00/08522 Containing International Preliminary Examination Report (November 19, 2001)			
		"Virginia Power to Seek 1,750 MW More Through Competitive Bidding," Electric Utility Week, pg. 15 (March 14, 1998)			

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